# **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:	ĺ	(11) International Publication Number: WO 90/13582
C08F 255/00, 8/46	A1	(43) International Publication Date: 15 November 1990 (15.11.90)
(21) International Application Number: PCT/US (22) International Filing Date: 23 April 1990 (30) Priority data: 349,612 10 May 1989 (10.05.89) (71) Applicant: EXXON CHEMICAL PATENTS II US]; 1900 East Linden Avenue, Linden, NJ 0 (US). (72) Inventor: HENDEWERK, Monica, Louise; 5006 mond, Houston, TX 77096 (US). (74) Agents: KURTZMAN, Myron, B. et al.; Exxon Company, P.O. Box 5200, Baytown, TX 77 (US).	NC. [U7036-07	(81) Designated States: AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), SE (European patent).  Published  With international search report.  Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.
		THE DESCRIPTION OF THE PROPERTY OF THE

(54) Title: POLYPROPYLENE COMPOSITION AND METHOD FOR FUNCTIONALIZATION OF POLYPROPYLENE

#### (57) Abstract

The present invention relates to a process for the functionalization, and preferably the maleation of a polyolefin, preferably polypropylene, by use of a selected class of peroxides which will not cause the molecular weight of the polyolefin to significantly degrade. The class of peroxide initiators which are capable of successful use in the present invention may be more broadly classified as peroxides which have radical fragments when the peroxide is dissociated, which are a combination of R. and RO., where R is an aliphatic hydrocarbon, and O is oxygen. The preferred peroxide initiators for use in carrying out the present invention so as to obtain the results indicated above are t-butyl peroxypivalate and isononanoyl peroxide. The composition resulting from the practice of the process of the invention is a maleated polypropylene in which the polypropylene has more than 0.3 weight percent grafted maleic anhydride and preferably greater than 0.4 weight percent, for example, from 0.4 to 4 weight percent or more grafted maleic anhydride on the polymer chains and in which the MFR is less than 100.

# **DESIGNATIONS OF "DE"**

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MC	Мопасо
AU	Australia	FI	Finland	MG	Madagascar
BB	Barbados	FR	France	ML	Mali
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Fasso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GR	Greece	NL	Netherlands
BJ	Benin	HU	Hungary	NO	Norway
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	SD	Sudan
CF	Central African Republic	KP	Democratic People's Republic	SE	Sweden
CG	Congo		of Korca	SN	Senegal
CH	Switzerland	KR	Republic of Korea	SU	Soviet Union
CM	Cameroon	Li	Liechtenstein	TD	Chad
DE	Germany, Federal Republic of	LK	Sri Lanka ·	TG	Togo
DK	Denmark	เบ	Luxembourg	US	United States of America

PCT/US90/02189

1

2

# POLYPROPYLENE COMPOSITION AND METHOD FOR FUNCTIONALIZATION OF POLYPROPYLENE

This invention relates to a new functionalized polypropylene composition and a new and improved process for the . functionalization of polypropylene, particularly the maleation of polypropylene.

#### Brief Description of the Prior Art

5 To prepare polypropylene for certain end-use applications it 6 is necessary to functionalize the polymer, i.e., to incorporate 7 functional groups such as maleic anhydride onto the polypropylene polymer chains. The reaction of maleic anhydride with polypropylene is well known in the art. Examples of the prior art 10 are U.S. Patent Nos. 4,404,312; 4,506,056; 3,414,551; 4,370,450; 11 and 4,639,495. European Patent application 0 225 186 is another 12 example of a method of grafting maleic anhydride onto 13 polypropylene. In the prior art, the maleation of polypropylene 14 has been accomplished by the use of free radical initiators such 15 as a peroxide initiator to induce maleation. However, the prior 16 art peroxides which have been used for functionalizing 17 polypropylene cause substantial degradation of the molecular 18 weight of the maleated polypropylene. In an attempt to avoid 19 molecular weight degradation of the maleated polypropylene the 20 prior art methods employed low levels of peroxide initiator. See, 21 for example, U.S. Patent No. 4,404,312 which states that the 22 organic peroxide should not be more than about 0.1 percent by 23 weight, and preferably not more than 0.01 percent by weight of the 24 25 polypropylene to be reacted. As a result, in the prior art, the extent of maleation of the polypropylene has been restricted. 26 Other attempts to avoid the molecular weight degradation require 27 the use of a third ingredient, such as styrene, which becomes a 28 part of the polymer, or require the use of a catalyst such as 29 N,N-dialkylethanolamine, or other nitrogen, phosphorus, or sulfur 30

containing compounds. Examples of such ingredients are found in U.S. Patent No. 4,506,056 and EPO Application 0 225 186.

Summary of the Invention

The present invention is a novel composition wherein the molecular weight of a functionalized polypropylene product is greater than heretofore obtained with the prior art radical initiators, and a novel functionalization process wherein minimal molecular weight degradation of the polypropylene occurs during a radical initiated functionalization process. This is accomplished by the use of a selected class of peroxides which have been found to produce significant grafting of unsaturated monomers useful for functionalizing polypropylene, such as maleic anhydride, himic anhydride, acrylic acid, methacrylic acid, vinyltrimethoxysilane, acrylamide, itaconic acid, maleic acid, fumaric acid, monomethyl maleate, monoethyl maleate, fumaric anhydride, vinyl amines and amides, and other substituted vinyl monomers that are capable of free radical polymerization, onto polypropylene without causing significant molecular weight degradation of the polypropylene.

Polypropylene has many attractive characteristics such as a high melting temperature, low density, chemical inertness and low cost. However, in order to use polypropylene in applications such as adhesives, coextrudable tie layers for multilayer composites, metal coatings, and polymer blends, it is necessary to chemically modify polypropylene to incorporate a reactive moiety onto the backbone of the polymer. As a primary advantage of the present invention, one can employ high levels of peroxide and obtain a polymer composition having a high molecular weight with a significant level of functionality which can be made into film or otherwise processed by extrusion, fiber spinning, etc. In contrast, the lower molecular weight functionalized polypropylene produced by conventional approaches generally cannot be so used or processed.

A further advantage of the present invention is that the molecular weight degradation of polypropylene can be controlled, independent of the level of grafting of maleic anhydride onto the polypropylene, through variation in temperature, time and other process variables. In the prior art, molecular weight degradation

2

3

4

5

6

7

8

9

10

11

12

13

15

16

17

19

20

21

22

23

24

26

27

28

29

30

31

33

34

35

37

increases when increasing amounts of peroxides are used to increase grafting.

The process of this invention does not require the presence of a catalyst, additive, or process modifier (third ingredient) as disclosed in U.S. 4,506,056 to prevent molecular weight degradation. The functionalized polypropylene compounds produced with the process of this invention have a melt flow rate (MFR) of one hundred (100) or less, (measured by ASTM Standard F 1238-86). but preferably seventy-five (75) or less. The peroxide initiators preferred for use in practice of the process have a short half-life  $(t_{1/2})$  at 180°C, preferably less than 3 seconds at 180°C, and the peroxide initiator decomposes to produce radical fragments in combinations of either R. or RO., wherein R is an alkyl group, preferably a  $C_1-C_{30}$  alkyl group. When the radical is RO., R is most preferably t-butyl. The energy for bonding a hydrogen atom to these types of radicals is about 105 Kcal/mol or less. The peroxides preferred for use in the process are t-butyl peroxypivalate or isononanoyl peroxide.

## Brief Description of the Drawings

Figure 1 is a graph of the melt flow rate (MFR) versus the weight percent of maleic anhydride incorporated for maleated polypropylene compositions produced by using dicumyl peroxide, a typical peroxide used in radical initiated grafting processes, compared to a maleated polypropylene composition produced by either of two preferred embodiments of the process of the present invention, one embodiment being a melt phase process (B) and the second embodiment being a solid phase process (C).

Figure 2 is a graph of the melt flow rate (MFR) of a functionalized polypropylene versus the amount of peroxide initiator used to produce the functionalized polypropylene composition, for a functionalized polypropylene produced using dicumyl peroxide in accordance with prior art processes (D) and for a functionalized polypropylene produced using t-butyl peroxypivalate in accordance with the process of this invention (E).

# 36 Detailed Description of the Invention

The present invention relates to a process for the

31

32

33

34

35

1 functionalization, and preferably the maleation of a polyolefin, 2 preferably polypropylene, by use of a selected class of peroxides 3 which will not cause the molecular weight of the polyolefin to significantly degrade. While the differences between 5 polypropylene and its copolymers are recognized, the term 6 "polypropylene" as used in the claims includes both homopolymers 7 and copolymers of propylene for the sake of convenience. The 8 process of this invention does not require the presence of a 9 catalyst, additive, or process modifier to prevent molecular 10 weight degradation.

11 The functionalizing agent employed in the process of this invention may be any of the unsaturated monomers conventionally 12 used to functionalize a polyolefin. Such functionalizing agents 13 include, for example, carboxylic acids such as acrylic and 14 methacrylic acid; acid anhydrides such as maleic and himic 15 anhydride; acid amides such as acrylamide; and vinyl siloxanes 16 such as vinyltrimethoxysilane. The functionalizing agent 17 18 preferred for use in the process shall be described and 19 illustrated with reference to the functionalization of 20 polypropylene by reaction with maleic anhydride (MAH). Although 21 the invention will be described with reference to maleic anhydride, it should be understood that functionalizing reagents 22 23 different from maleic anhydride, such as the unsaturated monomers 24 previously identified, can be employed in the practice of this 25 invention. Accordingly, the term "maleation" or "maleated" as 26 used hereafter should be understood to mean "functionalization" 27 insofar as the use of functionalizing reagents other than maleic 28 anhydride are contemplated for use in the process of the invention. 29

The composition resulting from the practice of the process of the invention is a maleated polypropylene in which the polypropylene has on the average more than 0.3 weight percent (0.13 mole %) grafted maleic anhydride and preferably greater than 0.4 weight percent (0.17 mole %) grafted maleic anhydride, and an MFR of less than 100. The mole % most preferably is in the range of 0.13-1.71.

The melt flow rate (MFR) of the maleated polypropylene, measured by ASTM Standard E1238-8, is about one hundred (100) or

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

less, preferably less than 75, and most preferably less than 60. These values can be compared with the prior art as in Table 2 where it is demonstrated that the use of peroxides typically used in the prior art produces maleated polypropylenes with MFR's greater than 300. Maleated polypropylene made by the prior art methods with an MFR of less than 100 contain very low levels of grafted maleic anhydride, as demonstrated by the overlapping area of the curves in Figure 1 in the region of very low weight percent grafted maleic anhydride, low MFR. In accordance with this invention in which polypropylene does not significantly degrade, the MFR of the maleated polypropylene product is related to the MFR of the initial polypropylene polymer. The advantage of the composition of this invention is that the maleated polymer product can be made into films or can be processed by extrusion, fiber spinning, etc., and used in engineering plastic applications whereas the lower molecular weight functionalized polymers produced by prior art functionalization processes generally have MFR's much greater than 100 and cannot be so processed.

In carrying out the process of the present invention, the maleic anhydride and peroxide reagents should be mixed with the polypropylene preferably before the polypropylene is heated, and most preferably the maleic anhydride and the peroxide free radical initiator should be mixed prior to adding such mixture to the polypropylene. Although use of a solvent is not required for mixing the reagents with polypropylene, using an inert, low molecular weight, volatile solvent, such as pentane, hexane, or other hydrocarbons, or methylethyl ketone, acetone, or other low molecular weight species, or any other suitable liquid, to coat the polymer with the reagents, does improve the mixing of the reagents and improve the dispersion of the reagent mixture on the polypropylene when so used. The mixture of peroxide initiator and maleic anhydride is added to the polypropylene to coat the polymer with such components of the mixture. If a solvent is used as a coating and dispersion aid for the reagents, after the mixture is coated onto the polypropylene the solvent is evaporated from the polymer, leaving the maleic anhydride and peroxide reagents on the surface of the polypropylene.

3

4

6

7

9

10

11

12

13

15

16

17

18

20

21

22

24

34

Thereafter, the polymer with the reagents present is treated in one of two ways.

A preferred mode for conducting the reaction of maleic anhydride with polypropylene is in the melt phase mode wherein the temperature exceeds 160°C. In accordance with this embodiment, a high level of grafting with minimal molecular weight degradation is obtained. In accordance with this mode, the polypropylene is mixed with the peroxide and maleic anhydride or coated by evaporation of inert diluent from a slurry of peroxide/maleic anhydride. Typical diluents are pentane, heptane, methyl, ethyl, ketone and the like. Thereafter, the reagent coated polypropylene granules or pellets are heated in a vessel such as a Brabender plasticorder, an autoclave, an extruder or other equipment of like purpose or are reacted in a fluidized bed or gas phase reactor. Good results are obtained at temperatures of about 180-250°C, but preferably 180-220°C. The longer the time that the polypropylene is subjected to the reaction temperature, namely the preferred temperature of 180-220°C, the greater will be the amount of grafted maleic anhydride, without further degrading the molecular weight of the polypropylene.

An alternative method for conducting the maleation reaction is in the particulate or solid phase, at a temperature below the 23 melting point of the polypropylene, namely at a temperature of less than about 165°C. In accordance with this mode, the closer 25 to 165°C the better the grafting results obtained. The MFR of maleated polypropylene products produced in the particulate phase 27 is less than fifty (50) but most commonly less than ten (10) when 28 starting with a polypropylene resin having an MFR of 3. The 29 longer the time that the polypropylene is subjected to the 30 reaction temperature, namely the preferred temperature of 31 150-165°C, the greater will be the amount of the grafted maleic 32 anhydride, without further degrading the molecular weight of the 33 polypropylene.

Alternatively, in either the melt phase or solid phase mode, 35 the maleic anhydride and the select peroxide can be added to the 36 polypropylene separately. Addition of the maleic anhydride 37 separately from the peroxide results in lower grafting levels, but

4

6

7

8

9

10

11

12

13

14

15

16

17 18

19

20

21 22

23

35

maintains the advantage of insignificant molecular weight 2 degradation of the polymer product.

Since in the process of the present invention the molecular weight of the polypropylene is not significantly degraded, the amount of the peroxide used, based on the quantity of polypropylene to be reacted, may be as high as 10 mole percent. calculated as the number of moles of peroxide per mole of propylene monomer units (C3H6 units) present. The preferred amounts of the peroxide initiator are in the range of about 0.14 to 6 mole percent.

The presence of a catalyst, additive, or other process modifier during reaction is not required in order to accomplish the objectives of the present invention, namely, the grafting of substantial quantities of maleic anhydride to polypropylene without significant degradation of the polypropylene molecular weight. However, such catalysts, additives, or other process modifiers can be included in this reaction to obtain similar results as given in the prior art for other processes using other peroxides (EPO Patent Application O 225 186). As above mentioned, it is generally desirable in this invention to use a minor amount of a low molecular weight hydrocarbon or other solvent to enhance the mixing of the reagents and to disperse the reagent mixture on the polypropylene.

24 With the process of the present invention the maleated polypropylene produced will have an MFR of one hundred (100) or 26 less, i.e., from 0 to 100, and desirably 0-75, and most desirably 27 0-60, indicating that the molecular weight of the maleated 28 polypropylene product is much higher than the prior art polymers 29 of polypropylene with equivalent amounts of maleic anhydride 30 grafted using peroxide initiators. Also, in accordance with this invention the amount of maleic anhydride grafted is greater than 31 32 0.3 weight percent and preferably greater than 0.4 weight percent grafted maleic anhydride with an MFR of less than 100 which 33 distinguishes the present invention from the prior art. 34

The preferred peroxide initiators for use in carrying out the 36 present invention so as to obtain the results indicated above are t-butyl peroxypivalate and isononanoyl peroxide. The class of

```
1
   peroxide initiators which are capable of successful use in the
2
   present invention may be more broadly classified as peroxides
```

which have radical fragments when the peroxide is dissociated,

which are a combination of R. and RO., where R is an aliphatic

hydrocarbon, and O is oxygen. Such radical fragments exist (1)

6 when the peroxide separates at the two oxygen atoms in the middle

7 of the peroxide (dissociation), or (2) when the fragments formed

8 by dissociation undergo decarboxylation or beta-scission after

9 separation at the two oxygen atoms in the middle of the peroxide.

10 The dissociation pathway for t-butyl peroxypivalate is:

19 It has been found that the hydrogen bond energy, i.e the

energy gained by adding a hydrogen radical (H.) to a peroxide 20

radical (P•): 21

18

22 
$$P \cdot H \cdot P \cdot P \cdot P \cdot AH = hydrogen bond energy$$

can be related to whether the peroxide initiator will function in 23

the present invention. Table 1 shows the hydrogen bond energy and 24

conversely the radical stability for various free radicals or 25

radical fragments which may exist after a peroxide dissociates. 26

1		TABLE 1	
2		Annroyimata	• 4 • • • • • • • • • • • • • • • • • •
3	Radical Type	Approximate <u>Hydrogen Bond Energy</u>	Radical Reactivity
		nyorogen bona Energy	(Hydrogen Abstraction)
4	<b>⊙</b> ° or <b>⊙</b> ∽°	112 Kca1/mo1	Most Reactive
_	• •		THE WELLING
. 5	RO•	105 Kcal/mol	
_			
6	H <sub>3</sub> C•	104 Kcal/mol	
7	201		1
•	RCH <sub>2</sub> •	98 Kcal/mol	
8	D. Cu.	•	
	R <sub>2</sub> CH•	95 Kcal/mol	
9	R <sub>3</sub> C•	01 K14 .	•
10		91 Kcal/mol	Least Reactive
11	energy of about 105 i	ociate into free radicals	with a hydrogen bond
12	half life at 180°C as	(cal/mol and below, and w	which have a short
13	present invention, na	e most satisfactory for mely, producing a functi	the purposes of the
14	polypropylene, partic	ularly a maleated polypr	Onditzed
15	degradation of its mo	lecular weight.	opyrene, with minimal
16	<b>EXAMPLES</b>	<b>3</b>	
17	In the examples a	nd tables which follow,	the quantities of
18	reagents employed and	the properties of resul	ting compositions
19	were determined as fo	llows:	
20	The melt flow rat	e (MFR) of the starting	polymer and of the
21	maleated polymer produ	uct were determined in a	ccordance with ASTM
22	Standard D1238-86, 1.0	e., a melt temperature o	f 230°C and a load of
23 24	2.16 Kg.	•	
25	ne amount of male	eic anhydride (MAH) used	for reaction with a
26	purymer is reported as	weight percent MAH. Th	ne weight percent MAH
27	per gram of polymer mu	number of grams of malei	c anhydride present
28			
-	THE MOTAL CONCENTS	ation of peroxide used.	as reported in the

34 .

examples and tables, was calculated as the number of moles of peroxide per mole of monomer units in the polymer being reacted. Wherein polypropylene was the polymer reacted (Examples 1 to 23) the molar concentration of peroxide used was calculated as the ratio of the moles of peroxide to the moles of propylene monomer units ( $C_3H_6$ , M.W. = 42 g/mole) present in the polymer. Wherein an ethylene-propylene copolymer was the polymer reacted (in Examples 23-27) the molar concentration of peroxide used was calculated as the ratio of moles of peroxide to the sum of the moles of propylene and ethylene monomer units ( $C_3H_6$ , M.W. = 42 g/mole;  $C_4H_4$ , M.W. = 28 g/mole) present.

To determine the amount of maleic anhydride grafted onto the polymer, the maleated polymer was dissolved in xylene then precipitated from solution with acetone, filtered and dried. All samples in the examples were treated in this fashion. The weight percent of maleic anhydride grafted to the polymer was then determined by Fourier Transform Infrared (FTIR) analysis. FTIR films were pressed at 230°C for several minutes. The maleic anhydride concentrations grafted to the polymer were calculated from the intensity of the peak appearing between 1782-1790 cm<sup>-1</sup>. The FTIR was calibrated by oxygen analysis performed on maleated polypropylene and maleated ethylene-propylene rubber samples. The molecular weight of the grafted polymer was monitored by measuring the melt flow rate (MFR) using ASTM Standard D1238-86 and by Gel Permeation Chromatography (GPC).

The amount of maleic anhydride and peroxide reagents used and their relative concentration, as well as the temperature chosen, the time of reaction, the MFR or molecular weight of the polymer starting material, and the method of addition of the reagents to the polymer starting material were chosen to illustrate the variety of desired results that may be achieved by varying such conditions in the practice of the process of this invention. The examples which follow are illustrative of such variations, but are not intended to limit or otherwise exclude other combinations of such parameters.

Specific examples of peroxide initiators which are unsatisfactory and those which are satisfactory in carrying out

- the present invention are given below as Examples 1-11
- 2 (comparative) and Examples 12-13 (inventive). Table 2, following
- 3 Example 13, shows data obtained for the various peroxide
- 4 initiators utilized in Examples 1-13. Examples labelled with a
- 5 "C" are comparative examples.
- 6 Example 1-C
- 7 The reaction of isotactic polypropylene having a melt flow
- 8 rate (MFR) of 3.0 and maleic anhydride in the presence of a
- 9 peroxide initiator was carried out in a Brabender plasticorder.
- 10 One hundred forty-four milligrams (144 mg) of dicumyl peroxide
- 11 (0.07 mole percent) was mixed with 1.6 g maleic anhydride (5
- 12 weight percent) at room temperature in the powder form and then
- 13 mixed with 32 grams of polypropylene granules. The Brabender
- 14 plasticorder was brought to a temperature of 180°C and rotated at
- 15 30 rpm. While rotating at 30 rpm, the powdered peroxide-maleic
- 16 anhydride-polypropylene mixture was added to the Brabender
- 17 plasticorder after which the speed of the Brabender was increased
- 18 to 60 rpm. The polymer mixture was blended for 10 minutes at
- 19 180°C, then removed from the Brabender. The results are
- 20 summarized in Table 2.
- 21 Example 2-C
- The procedure of Example 1 was followed except that 0.14 mole
- 23 percent dicumyl peroxide (288) mg was used. The results are
- 24 summarized in Table 2.
- 25 Example 3-C
- 26 The procedure of Example 1 was followed except that 0.24 mole
- 27 percent dicumyl peroxide (0.48 g) was used. The results are
- 28 summarized in Table 2.
- 29 Example 4-C
- The procedure of Example 1 was followed except that 0.31 mole
- 31 percent dicumyl peroxide (0.64 g) was used. The results are
- 32 summarized in Table 2.
- 33 Example 5-C
- 34 The procedure of Example 1 was followed except that 0.47 mole
- 35 percent dicumyl peroxide (0.96 g) was used. The results are
- 36 summarized in Table 2.

#### 1 Example 6-C

- The procedure of Example 1 was followed except that 0.62 mole
- 3 percent dicumyl peroxide (1.3 g) was used. The results are
- 4 summarized in Table 2.
- 5 Example 7-C
- The procedure of Example 1 was followed except that 0.78 mole
- 7 percent dicumyl peroxide (1.6 g) was used. The results are
- 8 summarized in Table 2.
- 9 Example 8-C
- 10 The procedure of Example 1 was followed except that 4.05 grams
- 11 of dicumyl peroxide (1.75 mole percent) 3.6 grams of maleic
- .2 anhydride (10 weight percent MAH) and 36 grams of polypropylene
- 13 were used. The results are summarized in Table 2.
- 14 Example 9-C
- The reaction of isotactic polypropylene having a MFR of 3.0
- 16 and maleic anhydride in the presence of a peroxide initiator was
- 17 carried out in a Brabender plasticorder. Maleic anhydride, 10
- 18 weight percent, and 2.5-dimethyl-2,-5-di(t-butylperoxy) hexane
- 19 (tradename Lupersol 101), 1.75 mole percent, were dissolved in
- 20 methyl ethylketone at ambient temperature, then mixed with 36 g
- 21 polypropylene granules. The solvent was then evaporated from the
  - 2 mixture to leave the peroxide and MAH reagents on the surface of
- 23 the polypropylene granules. A brabender plasticorder was brought
- 24 to a temperature of 180°C and rotated at 30 rpm. While rotating
- 25 at 30 rpm, the peroxide-maleic anhydride-polypropylene mixture was
- 26 added to the Brabender plasticorder after which the speed of the
- 27 Brabender was increased to 60 rpm. The polymer mixture was
- 28 blended for 10 minutes at 180°C, then removed from the Brabender.
- 29 The results are summarized in Table 2.
- 30 Example 10-C
- 31 The procedure of Example 9 was followed except that 1.75 mole
- 32 percent of t-butyl peracetate (3.6 g) was used as the peroxide
- 33 initiator. The results are summarized in Table 2.
- 34 Example 11-C
- 35 The procedure of Example 9 was followed except that 1.75 mole
- 36 percent of benzoyl peroxide (3.9 g) was used as the peroxide
- 37 initiator. The results are summarized in Table 2.

PCT/US90/02189

- 13 -

# 1 Example 12

The procedure of Example 9 was followed except that 1.61 mole

3 percent of isononanoyl peroxide (7.2 g) was used as the peroxide

4 initiator and 20 weight percent MAH was used. The polymer mixture

5 was blended for five minutes at 210°C. The results are summarized

6 in Table 2.

# 7 Example 13

8 The procedure of Example 9 was followed except that 1.75 mole

9 percent of t-butyl peroxypivalate (3.6 g) was used as the peroxide

10 initiator. The results are summarized in Table 2.

Table 2.

		140E Z.									
1 2 3			REAC	TANTS				MOITS MOITION	POLYM PRODU		PEROXIDE
4 5					INIT				<u> </u>		HALF LIFE,
6 7	EXP No		AMOUNT (mole%)	MAH WL%	TYPE	MFR	IEMP ('C)	IIME (min.)	GRAFTED WL%	MER	(seconds)*
8 9	1-0	dicumyl peroxide	0.07	5	isoPP*	3.0	180	10	0.2	42	23
10 11	2-C	dicumyl peroxide	0.16	5	isoPP	3.0	180	10	0.3	120	23
12 13	3-C	dicumyl peroxide	0.24	5	isoPP	3.0	180	10	0.5	130	23
14 15	4-0	dicumyl peroxide	0.31	5	isoPP	3.0	180	10	0.4	160	23
16 17	5-C	dicumyl peroxide	0.47	5	isoPP	3.0	180	10	0.7	>500	23
18 19	6-C	dicumyl peroxide	0.62	5	isoPP	3.0	180	10	0.8	>500	23
20 21	7-0	dicumyl peroxide	0.78	5	isoPP	3.0	180	10	1.6	>500	23
22 23	8-C	dicumyl peroxide	1.75	10	isoPP	3.0	180	10	1.6	>500	23
24 25	9-€	Lupersol 101	1.75	10	isoPP	3.0	180	10	1.6	>500	37
26 27	10-C	t-butyl peracetate	1.75	10	isoPP	3.0	180	10	1.1	380	9
28 29	11-C	benzoyl peroxide	1.75	10	isoPP	3.0	180	10	1.0	330	3
30 31	12	isonanoyl peroxide	1.61	20	isoPP	3.0	210	5	1.9	62	0.5
32 33	13	t-butyl per- oxypivalate	1.75	10	isoPP	3.0	180	10	1.6	32	0.25

<sup>•</sup>isoPP = isotactic polypropylene

WO 90/13582 PCT/US90/02189

- 15 -

Table 2 vividly illustrates that the MFR of the polymers maleated in accordance with the preferred process of this invention using preferred peroxide initiators, such as t-butyl peroxypivalate and isononanoyl peroxide are well below one hundred (100) demonstrating that minimal molecular weight degradation occurs with peroxide initiators which satisfy the criteria set forth for use in this invention, while still grafting significant amounts of maleic anhydride.

As illustrated by the contrast of Examples 12 and 13 with Examples 1-11, it is also important to the process of this invention that the peroxide initiators have a relatively short half-life at 180°C. The half-life values identified in Table 2 for each peroxide were calculated using activation energies and rate constant data from the <u>Polymer Handbook</u>, 2nd Edition, Brandrup & Immergut. The two preferred peroxide initiators, for example, have a half-life of tenths of a second at 180°C. Once chosen, the peroxide initiators, with a half-life of less than about three seconds at 180°C, can be used at any temperature. A peroxide having a half-life of over a few seconds at 180°C will produce a maleated polypropylene product which has a significantly reduced molecular weight.

The following examples Nos. 14-22 illustrate the melt phase mode of maleation of polypropylene in accordance with the process of this invention.

#### Example 14

T-butyl peroxypivalate as the peroxide initiator, maleic anhydride, and polypropylene pellets (MFR = 1.0) were added directly to the feed hopper of a single screw extruder-reactor and then passed into the feed zone of the extruder. The polymer passed through all zones of the reactor, held at 180°C, with an average residence time of 1.5 minutes. The amount of peroxide and maleic anhydride used, and the product MFR and MAH grafting level are shown in Table 3.

## Examples 15-21

T-butyl peroxypivalate as the peroxide initiator and maleic anhydride were dissolved in methyl ethylketone at ambient temperature, then mixed with polypropylene granules, MFR 3.0. The

solvent was evaporated leaving the MAH and peroxide on the surface. of the polymer. A Brabender plasticorder was brought to a temperature of 180°C and rotated at 30 rpm. While rotating at 30 rpm the peroxide-maleic anhydride-polypropylene mixture was added to the Brabender plasticorder after which the speed of the Brabender was increased to 60 rpm. The polymer mixture was blended for 10 minutes at 180°C, then removed from the Brabender. The amount of peroxide and maleic anhydride used and the time of reaction varied and is reported for each example in Table 3 which follows.

# Example 22

 The procedure of Example 20 was followed except that the Brabender plasticorder was brought to a reaction temperature of 200°C and the peroxide-MAH-polymer mixture was blended for only 2 minutes. The results are reported in Table 3.

The following examples Nos. 23-25 illustrate the solid phase mode for maleation of polypropylene in accordance with the process of this invention.

#### Examples 23-25

Maleic anhydride and t-butyl peroxypivalate (TBPP) as the peroxide initiator were dissolved in methyl ethylketone at ambient temperature, then mixed with polypropylene granules, MFR 3.0. The solvent was evaporated leaving the MAH and peroxide on the surface and in the pores of the polymer granules. The dry mixture of peroxide-MAH-polypropylene was added to an autoclave and the autoclave was purged with nitrogen for 15 minutes. The autoclave was evacuated to  $10^{-3}$  torr and then closed to external atmosphere. The autoclave was then heated to  $150^{\circ}$ C and stirred for a period of time after which its temperature was reduced to room temperature and it was returned to atmospheric pressure. The amount of peroxide and maleic anhydride used and the temperature and time of reaction for each example are summarized in Table 3.

Table 3.

1 2			REACT	ANTS			REAC		POLYM	
3 4 5 6	EXP.	PEROXIDE	AMOUNT (mole%)	MAH # M	INITI POLYI IYPE		TEMP (°C)	IIME (min.)	GRAFIED WLS	MER
7	14	тврр••	0.21	1	isoPP*	1.0	180	1.5	0.3	6
8	15	ТВРР	0.89	5	isoPP	3.0	180	10	0.7	33
9	16	твръ	1.75	10	isoPP	3.0	180	10	1.2	28
10	17	тврр	4.40	25	isoPP	3.0	180	10	1.9	35
11	18	TBPP	5.30	30	isoPP	3.0	180	20	23	42
12	19	ТВРР	0.89	5	isoPP	3.0	180	10	0.7	30
13	20	ТВРР	2.67	15	isoPP	3.0	180	10	1.2	36
14	21	ТВРР	4.42	25	isoPP	3.0	180	10	1.9	35
15	- 18	ТВРР	4.42	25	isoPP	3.0	180	20	2.3	42
16	22	тврр	2.67	15	isoPP	3.0	200	2	1.2	59
17	23	тврр	2.67	30	isoPP	3.0	150	60	0.5	1.8
18	. 24	ТВРР	2.67	20	isoPP	3.0	150	180	1.1	3.2
19	25	ТВРР	2.67	20	isoP <b>P</b>	3.0	150	300	1.4	0.9

<sup>\*\*</sup> TBPP - t-butyl peroxypivalate

6.

Table 3 demonstrates that parameters such as temperature, reaction time, apparatus type, and reagent concentration can be varied to control the level of grafting and molecular weight breakdown during PP functionalization. In particular it should be noted that when an enclosed apparatus such as an extruder is used (Example 14) a much lower quantity of reagents is required to obtain similar grafting results to the Brabender, which is open at the top.

The polymers useful in this invention include polypropylene and random or block copolymers of propylene with a lesser amount of one or more  $(C_2-C_{18})$   $\alpha$ -olefins and/or diolefins such as ethylene, butene, hexene, butadiene, hexadiene, and so on. Use of such copolymers results in even higher grafting levels and lower MFR levels than are achieved in the case of homopolypropylene. The lower MFR is achieved because, in addition to the elimination of molecular weight breakdown as described by this invention, some crosslinking occurs in the presence of a peroxide in a copolymer containing other olefins, such as ethylene. This crosslinking phenomenon is well known in the art. Example Nos. 26-32 illustrate the application of this invention to copolymers of propylene with ethylene. The results of such examples are shown in Table 4.

Examples 26 through 29 illustrate melt phase maleation of ethylene-propylene copolymers using the process of this invention. Example 30 illustrates melt phase maleation of ethylene-propylene using prior art. The results are summarized in Table 4.

# Example 26

An ethylene-propylene copolymer containing 3 weight percent ethylene was maleated in accordance with the process of the invention. Maleic anhydride and t-butyl peroxypivalate (TBPP) as the peroxide initiator were dissolved in methyl ethylketone or pentane at ambient temperature, then mixed with polypropylene granules, MFR 3.0. The solvent was evaporated leaving the MAH and peroxide on the surface and in the pores of the polymer granules. A Brabender plasticorder was brought to a temperature of 180°C and rotated at 30 rpm. While rotating at 30 rpm the peroxide-maleic

PCT/US90/02189

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

1 anhydride-polypropylene mixture was added to the Brabender 2 plasticorder after which the speed of the Brabender was increased 3 to 60 rpm. The polymer mixture was blended for 10 minutes at 4 180°C, then removed from the Brabender. 5

#### Example 27

The procedure of Example 26 was followed except that the ethylene-propylene copolymer used contained 5 weight percent ethylene.

#### Example 28

The process and procedure of Example 14 (extruder reactor) was followed except that the polymer used was an ethylene-propylene copolymer.

#### Example 29

The process and procedure of Example 28 was followed except that the temperatures in the last 2 segments of the extruder were raised to 200°C and 220°C respectively.

#### Example 30-C

The process and procedure of Example 14 (extruder reactor) was followed except that the polymer used was an ethylene-propylene copolymer and the peroxide used was a 2,5-dimethyl-2,5-di (t-butylperoxy)hexene (tradename Lupersol 130). The results are summarized in Table 4.

Examples 31 and 32 illustrate the solid phase maleation of ethylene-propylene copolymers using the process of this invention. Example 31

An ethylene-propylene copolymer containing 3 weight percent ethylene was maleated in accordance with the process of the invention. Maleic anhydride and t-butyl peroxypivalate as the peroxide initiator were dissolved in methyl ethylketone or pentane at ambient temperature, then mixed with polypropylene granules, MFR 3.0. The solvent was evaporated leaving the MAH and peroxide on the surface and in the pores of the polymer granules. The dry mixture of peroxide-MAH-polypropylene was added to an autoclave and the autoclave was purged with nitrogen for 15 minutes. The autoclave was evacuated to 10<sup>-3</sup> Torr and then heated to 150°C. The contents were stirred for 60 minutes.

37 Example 32 \_\_\_\_\_.

Table 4.

1 2		RE	ACTANTS				REAC CONDI		POLYM PRODU	
3 4 5 6 7	EXP.	PEROXIDE	AMOUNT (m ok%)	MAH WL%	INITIA POLYM IYPE		TEMP (°C)	IIME (min.)	GRAFTED WL%	MER
8	20	TBPP**	2.67	15	isoPP•	3.0	180	10	1.2	36
9 10 11	26	ТВРР	2.67	15	3% ethylene copolymer of PP	3.0	180	10	1.4	35
12 13 14	27	ТВРР	2.67	15	5% ethylene copolymer of PP	3.0	180	10	2.0	23
15 16 17 18	28	ТВРР	0.21	1	1.5% ethy- lene copolymer of PP	1.7	180	1.5	0.44	6
19 20 21 22	29	ТВРР	0.21	1	1.5% ethy- lene copolymer of PP	1.7	180- 220	1.5	0.44	6
23 24 25 26	30-C	ТВРР	0.21	1	1.5% ethy- lene copolymer of PP	1.7	180	2.5	0.49	540
27	23	TBPP	-2.67	30	isoPP	3.0	150	60	0.50	1.8
28 29 30	31	ТВРР	2.67	30	3% ethylene copolymer of PP	3.0	150	60	0.80	4
31 32 33	32	ТВРР	2.67	30	5% ethylene copolymer of PP	3.0	150	60	1.7	0.5

isoPP = isotactic polypropyslene
 TBPP = t-butyl peroxypivalate

PCT/US90/02189

WO 90/13582

- 21 -

The procedure of Example 26 was followed except that the 1 ethylene-propylene copolymer used contained 5 weight percent 2 ethylene. The results are summarized in Table 4. Examples 31 and 32, conducted at 150°C show that significantly 4 5 less molecular weight degradation occurs in the solid phase experiment than in the melt phase experiment. Comparison of 6 Examples 26-28 with the previous examples demonstrates that the 7 crosslinking affect achieved with the presence of ethylene 8 monomeric units in the polypropylene polymer results in slightly 9 lower MFR than when the crosslinking ability is not present 10 (homopolypropylene). Concomitantly, the 5 percent ethylene 11 copolymer exhibits the lowest MFR under the same maleation 12 13 conditions. 14 The foregoing disclosure and description of the invention are illustrative and explanatory thereof, and various changes in the 15 size, shape and materials, as well as in the details of the 16 illustrated construction may be made without departing from the 17 18 spirit of the invention.

## CLAIMS:

- A functionalized (co)polypropylene comprising:
   polypropylene having more than 0.13 mole percent
   grafted functional groups derived from substituted
   vinyl monomers that are capable of free radical
   polymerization, said functionalized polypropylene
   having an MFR of 100 or less.
- The functionalized polypropylene of claim 1 wherein the vinyl monomer is one of an unsaturated acid, anhydride, amide, ester, or a siloxane.
- 3. The functionalized polypropylene of claim 1 having more than 0.17 mole percent grafted functional groups.
- 4. The functionalized polypropylene of claim 2 wherein the grafted functional groups are derived from maleic anhydride, himic anhydride, acrylic acid, methacrylic acid, vinyltrimethoxysilane, acrylamide, itaconic acid, maleic acid, fumaric acid, monomethyl maleate, monoethyl maleate, fumaric anhydride, vinyl amines and amides.
- The functionalized polypropylene of claim 2 wherein the grafted functional groups are derived from an unsaturated anhydride.
- 6. The functionalized polypropylene of claim 5 wherein the anhydride is maleic anhydride.
- 7. The functionalized polypropylene of claim 6 having 0.13 1.71 mole percent grafted maleic anhydride.
- 8. The functionalized polypropylene of claim 1 derived from homopolypropylene.

- 9. The functionalized polypropylene of claim 2 derived from a , copolymer or terpolymer of propylene with a lesser amount of one or more of a  $C_2$ - $C_{18}$  a-olefin or diolefin.
- 10. A free radical process for functionalizing polypropylene, comprising:

adding to polypropylene an unsaturated functionalizing agent and a free radical initiator wherein;

said unsaturated functionalizing agent is an acid, anhydride, amide or a siloxane; and said free radical initiator is a peroxide producing radical fragments, selected from the group consisting of R and RO, where R is a  $C_1 - C_{30}$  aliphatic hydrocarbon and O is oxygen; and

heating the polypropylene while in contact with said free radical initiator and said functionalizing agent at a temperature sufficient to graft the functionalizing agent to the polypropylene, whereby the polypropylene is functionalized with minimal molecular weight degradation.

- 11. The process of claim 10, wherein: the initiator is a peroxide having a  $t_{1/2}$  of less than three seconds at 180°C.
- 12. The process of claim 11, wherein:

  R is t-butyl when the radical fragment is RO.
- 13. The process of claim 12, wherein: the peroxide initiator is isononanoyl peroxide.
- 14. The process of claim 11, wherein: the peroxide initiator upon dissociation has one radical fragment with an alkoxy group, and another radical fragment with an alkyl group.

- 15. The process of claim 14, wherein: the peroxide initiator is t-butyl peroxypivalate.
- 16. The process of claims 13, 14 or 15, wherein: the functionalizing agent is maleic anhydride.
- 17. The process of claim 16, wherein:

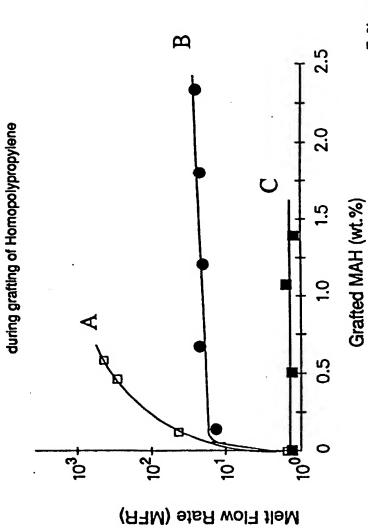
  the polypropylene is heated to a temperature
  sufficient to melt the polypropylene so that the
  polypropylene is in the melt phase during the
  grafting reaction of the maleic anhydride to the
  polypropylene chain.
- 18. The process of claim 16, wherein:
  the polypropylene is heated to temperature
  insufficient to melt the polypropylene so that the
  polypropylene is in the solid phase during the
  grafting reaction of the maleic anhydride to the
  polypropylene chain.
- 19. The process of claim 16, wherein:
  the polypropylene is first heated, and the maleic
  anhydride and peroxide are added.
- 20. The process of claim 17, wherein: the temperature in the melt phase is from about the melt temperature of the polypropylene to about 250°C.
- 21. The process of claim 10, wherein:
  the amount of initiator present in the reaction with
  the polypropylene is equivalent to between about 0.14
  mole percent and 6 mole percent based on the number
  of moles of monomer present.
- 22. The process of claim 10, wherein:
  the resulting functionalized polypropylene has a melt
  flow rate of about one hundred (100) or less.

- 23. The process of claim 10, wherein:

  the resulting functionalized polypropylene has an average of two or more functional groups for each polypropylene chain.
- 24. The product produced by the process of claim 10.
- 25. The product produced by the process of claim 12.
- 26. The product produced by the process of claim 21.
- 27. The product produced by the process of claim 22.
- 28. The product produced by the process of claim 23.

Figure 1

Melt Flow Rate vs. Wt.% Grafted MAH

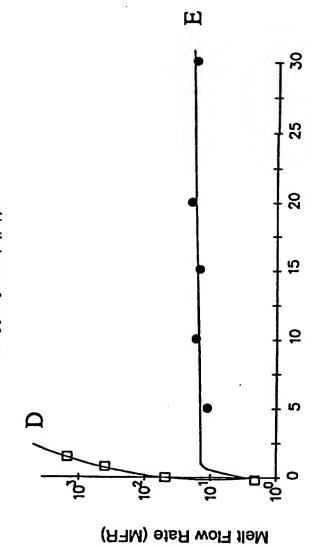


☐ Dicumyl peroxide

■ Solid Phase Data — this invention ● Melt Phase data — this invention

Figure 2

The Effect of Peroxide on Melt Flow Rate during grafting of Homopolypropylene



Amount of Peroxide Used (wt.%)

dicumyl peroxide t-butyl peroxypivalate

# INTERNATIONAL SEARCH REPORT

International Application No PCT/US 90/02189

L CLASS	SIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) 4	05 30/02103
	to International Patent Classification (IPC) or to both National Classification and IPC	
IPC <sup>5</sup> :	C 08 F 255/00, C 08 F 8/46	
II. FIELD	S SEARCHED	
	Minimum Documentation Searched 7	
Classificati	on System   Classification Symbols	
IPC <sup>5</sup>	C 08 F	
	Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched •	
III. DOCU	MENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of Document, 11 with Indication, where appropriate, of the relevant passages 12	Relevant to Claim No. 13
Y	EP, A, 0134941 (DYNAMIT NOBEL) 27 March 1985 see claims 1-7	1-28
¥	FR, A, 2186490 (EASTMAN KODAK) 11 January 1974 see claims 1-8	1-28
Y	US, A, 4506056 (N.G. GAYLORD)  19 March 1985  see claim 1; column 4 (cited in the application)	1-28
A	FR, A, 2251611 (EASTMAN KODAK) 13 June 1975 see claims 1-4	1
"A" docucons "E" sarlir filing "L" docu whic citati "O" docu other "P" doculater	categories of cited documents: 19  Imment defining the general state of the art which is not idered to be of particular relevance or document but published on or after the international plate  Imment which may throw doubts on priority claim(s) or in is cited to establish the publication date of another on or other special reason (as specified)  Imment referring to an oral disclosure, use, exhibition or remeans  Imment published prior to the international filling date but than the priority date claimed  To alter document published after the or priority date and not in conflict cited to understand the principle invention  "X" document of particular relevance cannot be considered novel or involve an inventive step  "Y" document of particular relevance cannot be considered to involve a document is combined with one complete to involve a document is combined with one complete to invention  "X" document of particular relevance cannot be considered to involve a document is combined with one complete to invention  "X" document of particular relevance cannot be considered to involve a document is combined with one complete to invention  "X" document of particular relevance cannot be considered to involve a document is combined with one complete to involve a document published after the or priority date and not in conflict contents on the priority date and not in conflict contents on the priority date and not in conflict contents on the priority date and not in conflict contents on the priority date and not in conflict to considered to involve a cons	t with the application but or theory underlying the s; the claimed invention cannot be considered to s; the claimed invention inventive step when the or more other such docu- porious to a person skilled atent family
9th A	Actual Completion of the International Search ugust 1990 17. 09. 90	
	John Mar 1772 1	SOTELO
rm PCT/ISA	/210 (second sheet) (Jenuary 1985)	•

Form PCT/ISA/210 (second sheet) (January 1985)

	International Application No P	
	MENTS CONSIDERED TO BE RELEVANT (CONFICUED FROM THE SECOND SHEE	τ)
Category •	Citation of Document, 11 with Indication, where appropriate, of the relevant passages	Relevant to Claim No.
A	US, A, 3755495 (A.E. SCHRAGE) 28 August 1973 see claims 1-8	1
A	EP, A, 0082704 (E.I. DU PONT DE NEMOURS) 29 June 1983 see claims 1-4 & US, A, 4639495 (cited in the application)	1
A	FR, A, 1482380 (HERCULES POWDER) 26 May 1967 see summary; page 2, column 1 & US, A, 3414551 (cited in the application)	1

Form PCT/ISA 210(extra sheet) (January 1985)

# ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

US 9002189 SA 37026

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 07/09/90

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date		nt family mber(s)	Publication date	
EP-A- 0134941	27-03-85	DE-A,C JP-A- US-A-	3327149 60053543 4652326	04-04-85 27-03-85 24-03-87	
FR-A- 2186490	11-01-74	US-A- CA-A- DE-A- JP-A- GB-A-	3862266 979574 2326589 49042791 1406942	21-01-75 09-12-75 13-12-73 22-04-74 17-09-75	
US-A- 4506056	19-03-85	None			
FR-A- 2251611	13-06-75	US-A- CA-A- DE-A- GB-A- JP-A-	3932368 1057886 2454808 1484879 50084639	13-01-76 03-07-79 22-05-75 08-09-77 08-07-75	
US-A- 3755495	28-08-73	US-A-	3830872	20-08-74	
EP-A- 0082704	29-06-83	JP-A- US-A-	58109505 4639495	29-06-83 27-01-87	
FR-A- 1482380		BE-A- GB-A- NL-A- US-A-	681931 1086839 6607411 3414551	01-12-66 27-12-66	